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06-04-0



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Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 41003.P025

First Inventor G. Eric Engstrom

User Voice Based Resource

Title Saving Preemption

EL743035292US Express Mail Label No. (Only for new nonprovisional applications under 37 CFR 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or omit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) specification [Total Pages (preferred arrangement set forth below) 3. [X Computer Readable Form (CRF) - Descriptive title of the invention Specification Sequence Listing on: Cross Reference to Related Applications i. 🔲 CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, i i. 🔲 or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) ACCOMPANYING APPLICATION PARTS - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement Power of - Abstract of the Disclosure (when there is an assignee) Attomey English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) [Total Sheets Copies of IDS Information Disclosure 5. Oath or Declaration [Total Pages Citations Statement (IDS)/PTO-1449 X Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) 13 Preliminary Amendment Return Receipt Postcard (MPEP 503) b. (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** Signed statement attached deleting inventor(s) Request and Certification under 35 U.S.C. 122 named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.:_ Prior application information: Group Art Unit: Examiner For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 00025943 Customer Number or Bar Code Label Correspondence address below arcccio label hare) Name Aloysius T.C. AuYeung COLUMBIA IP LAW GROUP, PC 4900 SW Meadows Road, Suite 109 <u>Address</u> Zip Code City Lake Oswego State **Oregon** 97035 USA 503-534-2800 503-534-2804 Country Telephone Fax Name (Print/Type) Aloysius T.C. AuYeung Registration No. (Attorney/Agent) 35,432 Signature Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 551.00

Complete if Known				
Application Number	Not yet assigned			
Filing Date	May 31, 2001			
First Named Inventor	G. Eric Engstrom			
Examiner Name	Not yet assigned			
Group Art Unit	Not yet assigned			
Attorney Docket No.	41003.P025			

METHOD OF PAYMENT		FEE CALCULATION (continued)						
1. The Commissioner is hereby authorized to charge included		ITIONA	L FEES	3				
Deposit Deposit		Large Entity		Small Entity				
Account Number 501569 Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
Account Columbia IP Law Group, PC	105	130	205	65	Surcharge - late filing fee or oath			
Name	127	50	227	25	Surcharge - late provisional filing fee or cover sheet			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	139	130	139	130	Non-English specification			
Applicant claims small entity status. See 37 CFR 1.27	147	2,520	147	2,520	For filing a request for ex parte reexamination			
2. Payment Enclosed:	112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
Check Credit Card Order Other	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
FEE CALCULATION		110	215	55	Extension for reply within first month			
1.BASIC FILING FEE	116	390	216	195	Extension for reply within second month			
Large Entity Small Entity	117	890	217	445	Extension for reply within third month			
Fee Fee (\$) Fee Fee Description	118	1,390	218	695	Extension for reply within fourth month			
Code Code (\$) Fee Paid 101 710 201 355 Utility filling fee 355.00	128	1,890	228	945	Extension for reply within fifth month			
106 320 206 160 Design filling fee	119	310	219	155	Notice of Appeal			
107 490 207 245 Plant filing fee	120	310	220	155	Filing a brief in support of an appeal			
108 710 208 355 Reissue filing fee	121	270	221	135	Request for oral hearing			
114 150 214 75 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 355.00	140	110	240	55	Petition to revive - unavoidable			
300101AE(1) (4) 333.00	141	1,240	241	620	Petition to revive - unintentional			
2.EXTRA CLAIM FEES	142	1,240	242	620	Utility issue fee (or reissue)			
Fee from Extra Claims below Fee Paid	143	440	243	220	Design issue fee			
Total Claims 24 -20**= 4 X 9.00 = 36.00	144	600	244	300	Plant issue fee			
Independent 6 -3**= 3 X 40.00 = 120.00	122	130	122	130	Petitions to the Commissioner			
Cidillo	123	130	123	130	Petitions related to provisional applications			
Multiple Dependent	126	180	126	180	Submission of Information Disclosure Stmt			
Large Entity Small Entity Fee Fee Fee Fee Description	581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00		
Code (\$) Code (\$) 103 18 203 9 Claims in Excess of 20	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))			
102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))			
109 80 209 40 ** Reissue independent daims over	179	710	279	355	Request for Continued Examination (RCE)			
original patent 110 18 210 9 ** Reissue daims in excess of 20 and over	169	900	169	900	Request for expedited examination of a design application			
original patent	Other fe	e (specify)			, a doca, application			
SUBTOTAL (2) (\$) 156.00 **or number previously paid, if greater; For Reissues, see above		by Basic Fi	ling Fee f	Paid	SUBTOTAL (3) (\$)	40.00		

SUBMITTED BY	Complete (if applicable)				
Name (Print/Type)	Aloysius T.C. AuYeung	Registration No. (Attorney/Agent)	35,432	Telephone	503-534-2800
Signature	#CH-		<u>.</u> .	Date	5/31/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

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PTO/SB/35 (11-00)

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

to respond to a collection of information diffess it displays a valid CMB collidor fulfiber					
First Named Inventor		G. Eric Engstrom			
Title	USER VOICE BASED RESOURCE SAVING PREEMPTION				
Attv Docket Number		41003.P025			

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

May 31, 2001

Date

Aloysius T.C. AuYeung, Reg. No. 35,432

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.